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# Transient stability constrained optimal power flow using oppositional krill herd algorithm



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# ABSTRACT

Transient stability constrained optimal power flow (TSCOPF) is becoming an effective tool for many problems in power systems since it simultaneously considers economy and dynamic stability of system operations. It is increasingly important because modern power systems tend to operate closer to the stability boundaries due to the rapid increase of electricity demand and the deregulation in power sector. TSCOPF is, however, a nonlinear optimization problem with both algebraic and differential equations which is difficult to solve even for small power network. In order to solve the TSCOPF problem efficiently, a relatively new optimization technique, named as krill herd algorithm (KHA), is employed in this paper. KHA simulates the herding behavior of krill swarms in response to specific biological and environmental processes to solve multi-dimensional, linear and nonlinear problems with appreciable efficiency. To accelerate the convergence speed and to improve the simulation results, opposition based learning (OBL) is also incorporated in the basic KHA method. The simulation results, obtained by the basic KHA method and the proposed oppositional KHA (OKHA) algorithm, are compared to those obtained by using some other recently developed methods available in the literature. In this paper, case studies conducted on 10 generator New England 39-bus system and 17 generator 162-bus system indicate that the proposed OKHA approach is much more, computationally, efficient than the other reported popular state-of-the-art algorithms including the basic KHA and the proposed method is found to be a promising tool to solve the TSCOPF problem of power systems.

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#### Introduction

The systematic interconnection of power systems that took place in the second half of the twentieth century was an attempt to strengthen the networks and to facilitate the transmission of electricity. This effort has brought new operational challenges that could not be faced by power engineers unless the state of the network was properly monitored in real-time [1]. The modern deregulated environment has driven utilities around the world to operate their power systems closer to their stability boundary for better use of transmission networks. The optimal power flow (OPF) has been an important tool in power system operation for the past four decades. The concept of OPF was first introduced by Carpentier [2]. It is a very powerful tool to find out an accurate balance between economics and security. The main goal of the OPF problem is to determine the optimal operating state of the

*E-mail addresses:* mukherjeeaparajita29@gmail.com (A. Mukherjee), roy\_provas@yahoo.com (P.K. Roy), vivek\_agamani@yahoo.com (V. Mukherjee). power system by optimizing a particular objective function while satisfying certain specified physical and operating constraints [3]. For secured and economical operation of power system, it is required to be stable under some severe disturbances, *i.e.* system operation must satisfy transient stability constraints.

OPF is a nonlinear, non-convex, large-scale, static optimization problem with both continuous and discrete control variables [4]. The careful and intelligent scheduling of the generating units can not only reduce the operating cost significantly but also assure higher reliability and security of power system. Many successful techniques [5–9] have been developed in the intervening decades that focus on overcoming the limitations of OPF study in terms of flexibility and reliability for practical applications. In case of conventional OPF formulation, transient stability constraints are not considered. The operating point of the system often fails to maintain transient stability, when subjected to a credible contingency. The ordinary OPF problems have been, extensively, studied in [10]. Several conventional optimization techniques have been applied to solve the OPF problem, such as linear programming, interior point method (IPM) and Newton method [11]. But as the

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power industry is growing faster and moves into a competitive environment, transient stability is becoming an important factor to be considered while dealing with OPF study [12].

Transient stability constrained OPF (TSCOPF) is, however, a nonlinear optimization problem with both algebraic and differential equations in the time domain. It considers optimal and stable operations simultaneously. As a special requirement of the system, the initial or feasible operating point should withstand the disturbance and can move to a new stable equilibrium state after the clearance of the fault without disturbing the equality and the inequality constraints. Due to huge dimension of TSCOPF problem (especially, for system dealing with detailed machine models), it is really a tough exercise to deal with this type of problem. For a given power system configuration, although the number of possible contingencies are numerous, there are few critical contingencies that may cause instability. After analyzing and filtration, the major contingency is selected and the TSCOPF procedure is applied to find out the optimal operating point.

Various optimization techniques have been evolved in the last two decades to solve the TSCOPF problem. An improved genetic algorithm (GA) was proposed by Chan et al. [13] to solve multi-contingency TSCOPF problem where generator rotor angle constraints were additionally considered. An IPM method was introduced by Xia et al. [14] to efficiently perform the TSCOPF. But, in case of large scale TSCOPF problem, IPM suffers from the curse of dimensionality, unacceptable memory consumption and enough computational time [14]. To overcome these drawbacks, a new approach (called as reduced space IPM (RSIPM)) was introduced in [15] to reduce the memory usage as well as CPU time. In order to improve further the computational efficiency, an enhanced numerical discretization method was proposed in [16] where truncation error of specific numerical integration algorithm was considered and discretization occurs in inequality constraints instead of equality constraints that has enabled to reduce the prime dual linear systems dimension to 50% from more than 80-90%. Afterward, Pizano-Martinez et al. have proposed an efficient and practical approach in [17] to reduce the huge dimension of the TSCOPF problem where the sets of dynamic and transient stability constraints were reduced to one single stability constraint and this method was implemented, efficiently, on WSCC 3-machine 9-bus system and the Mexican 46-machine 190-bus system. For achieving more effective and flexible computation of TSCOPF, combination of classical deterministic programming technique and evolutionary algorithm was introduced by Xu et al. [18]. Geng and Jiang have proposed two-level parallel decomposition approach [19] based on the RSIPM method to solve TSCOPF problem. Moreover, in the recent past, various other nature-inspired optimization algorithms have been also designed and applied to solve the TSCOPF problem of power system. These include evolutionary programming [20], particle swarm optimization (PSO) [21,22], differential evolution (DE) [23], GA [24], etc.

However, this paper represents a new bio-inspired swarm intelligence algorithm, called krill herd algorithm (KHA) (proposed by Gandomi and Alavi [25]), to solve TSCOPF problem of power system. The main motive of using this technique is to show that the clustering may be also applied to the natural intelligent techniques. Clustering also shows the swarm behavior and, thus, it is used with the nature inspired algorithms to help for finding the food for the krill individuals. For determining the time dependent position of an individual krill, three important actions are considered in [25]. These are (a) motion induced by other krills, (b) foraging activity and (c) random diffusion.

Recently, KHA methodology is becoming very much popular in different fields of research interests like, structural optimization problem [26], portfolio optimization problem [27], numerical optimization problem [28], phase stability and phase equilibrium

calculations [29], production scheduling problem [30], optimum design of truss structures [31] and so on. Moreover, a few new variant of KHA have been also proposed by the researchers such as opposition based KHA with cauchy mutation and position clamping [32], biogeography based optimization (BBO) embedded with KHA [33], and quantum-behaved PSO based KHA [34]. Also, variants of KHA like simulated annealing (SA) based KHA [35], chaotic PSO based KHA [36], harmony search algorithm (HSA) based KHA [37], GA based KHA incorporating stud selection and crossover operator [38], DE based KHA [39] and cuckoo search based KHA [40] have been proposed in the literature for global numerical optimization problem.

Moreover, to accelerate the convergence rate and to improve the simulation results, oppositional based learning (OBL) [41] is integrated in this paper with the conventional KHA technique. The main idea behind the OBL is the simultaneous consideration of an estimate and its corresponding opposite estimate in order to achieve a better approximation for the current candidate solution. So, OBL is proved to be an effective technique to improve the performance of various basic optimization approaches like PSO [42], ant colony optimization (ACO) [43], BBO [44], HSA [45–47], gravitational search algorithm (GSA) [48] and bat algorithm [49].

In this article, the concept of OBL is embedded with the basic KHA technique (termed as OKHA) for achieving improved response and better convergence characteristics. Two test power systems (*i.e.* 10 generator New England 39-bus system and 17 generator 162-bus system) are chosen in this work to solve the TSCOPF problem of power system. A single objective function *i.e.* quadratic fuel cost (without considering valve point effect) is considered here. The results obtained from the proposed OKHA method are compared with other three algorithms (*viz.* GSA, BBO and KHA) reported in the recent state-of-the-art literature.

The rest of this paper is organized as follows: 'Problem formulation' Section analyzes the mathematical problem formulation part of OPF problem. Basic aspects of transient stability analysis are assessed in Section 'Transient stability assessment'. Section, 'Algorithms employed' describes about the basic attributes of some algorithms like GSA, BBO, KHA and OKHA. 'OKHA applied to TSCOPF problem' Section elaborates the implementation part of the proposed OKHA for TSCOPF problem. Simulation results and discussion part are elucidated in 'Simulation results and discussion' part while 'Conclusion' Section draws the conclusion of the present work.

# **Problem formulation**

The main goal of the OPF problem is to minimize the total fuel cost while satisfying all the equality and the inequality constraints. Mathematically, OPF problem may be represented as

$$\operatorname{Min} f(u) \tag{1}$$

subject to: 
$$\begin{cases} g(u) = 0\\ h(u) \leq 0 \end{cases}$$
 (2)

The objective function may be written as [23]

$$f(u) = C_T = \sum_{x=1}^{N_G} (a_x P_{G_x}^2 + b_x P_{G_x} + c_x)$$
(3)

where  $C_T$  is the total generating cost,  $a_x$ ,  $b_x$ ,  $c_x$  are the fuel cost coefficients of the generator x,  $P_{G_x}$  is the active power generation of the unit x,  $N_G$  is the total number of generator buses.

Constraints

The OPF problem has two categories of constraints (*viz.* the equality constraint and the inequality constraint). These two types of constraints are, sequentially, described below.

Equality constraints

The following power flow equations form the equality constraints:

$$\begin{cases} P_{G_x} - P_{D_x} - \sum_{y=1}^{N_B} V_x V_y (G_{xy} \cos \delta_{xy} + B_{xy} \sin \delta_{xy}) = 0\\ Q_{G_x} - Q_{D_x} - \sum_{y=1}^{N_B} V_x V_y (G_{xy} \sin \delta_{xy} - B_{xy} \cos \delta_{xy}) = 0 \end{cases}$$
(4)

where  $V_x$ ,  $V_y$  are the voltages of the buses x and y, respectively,  $P_{G_x}$ ,  $Q_{G_x}$  are the active and the reactive power, respectively, of the generator x,  $P_{D_x}$ ,  $Q_{D_x}$  are the active and the reactive power demand at bus x,  $G_{xy}$ ,  $B_{xy}$ ,  $\delta_{xy}$ , respectively, are the conductance, the susceptance and the phase difference of voltage, between buses x and y and  $N_B$  is the number of buses.

#### Inequality constraints

These constraints represent the system operating limits as follow:

#### (i) Generator constraints

Generator voltage, active power outputs and reactive power outputs of bus x should lie between their respective lower and upper limits, as follows:

$$\begin{cases}
V_{G_x}^{min} \leqslant V_{G_x} \leqslant V_{G_x}^{max}, \quad x = 1, 2, \dots, N_G \\
P_{G_x}^{min} \leqslant P_{G_x} \leqslant P_{G_x}^{max}, \quad x = 1, 2, \dots, N_G \\
Q_{G_x}^{min} \leqslant Q_{G_x} \leqslant Q_{G_x}^{max}, \quad x = 1, 2, \dots, N_G
\end{cases}$$
(5)

where  $V_{G_x}^{min}$ ,  $V_{G_x}^{max}$  are the minimum and the maximum generator voltage, respectively, of bus *x*,  $P_{G_x}^{min}$ ,  $P_{G_x}^{max}$  are the minimum and the maximum active power output, respectively, of bus *x* and  $Q_{G_x}^{min}$ ,  $Q_{G_x}^{max}$  are the minimum and the maximum reactive power output, respectively, of bus *x*.

#### (ii) Transformer constraints

Transformer tap settings are bounded by their respective upper and lower limits as:

$$T_x^{\min} \leqslant T_x \leqslant T_x^{\max}, \quad x = 1, 2, \dots, N_T \tag{6}$$

where  $T_x^{min}, T_x^{max}$  are the minimum and the maximum tap setting limits, respectively, of transformer *x* and  $N_T$  is the number of regulating transformers.

#### (iii) Shunt compensator constraints

Reactive power injections at buses are restricted by their respective maximum and minimum limits as:

$$Q_{C_x}^{\min} \leqslant Q_{C_x} \leqslant Q_{C_x}^{\max}, \quad x = 1, 2, \dots, N_C$$
(7)

where  $Q_{C_x}^{min}$ ,  $Q_{C_x}^{max}$  are the minimum and the maximum VAR injection limits, respectively, of the shunt compensator x and  $N_C$  is the number of shunt compensators.

# (iv) Security constraints

These include transmission line loadings and voltages at load buses as follows:

$$V_{L_x}^{min} \leqslant V_{L_x} \leqslant V_{L_x}^{max}, \quad x = 1, 2, \dots, N_L$$
(8)

$$S_{L_x} \leqslant S_{L_x}^{max}, \quad x = 1, 2, \dots, N_{TL}$$

$$\tag{9}$$

where  $V_{L_x}^{min}$ ,  $V_{L_x}^{max}$  are the minimum and the maximum load voltage, respectively, of load bus *x*,  $S_{L_x}$ ,  $S_{L_x}^{max}$  are the apparent power flow and the maximum apparent power flow limit, respectively, through branch *x*,  $N_L$  is the number of load buses and  $N_{TL}$  is the number of transmission lines.

#### Transient stability assessment

The term '*transient stability*' refers to the ability of a synchronous machine of an interconnected power system to return to normal or stable operation after being subjected to some form of disturbances and the instability, usually, appears in the form of increasing angular swings of some generators leading to their loss of synchronism with respect to the other generators [50].

Mathematically, transient stability problem is described by a set of differential-algebraic equations. For describing the transient behavior of a system incorporating transient stability, the generator rotor angles are used here with respect to the initial center of all the generators. So, the position of center of inertia (COI) is defined as

$$\delta_{COI} = \frac{\sum_{x=1}^{N_G} M_x \delta_x}{\sum_{x=1}^{N_G} M_x} \tag{10}$$

where  $\delta_x$  is the rotor angle for the generator x and  $M_x$  is the inertia constant of the generator x for an  $N_G$  generator system.

In view of inequality constraints, it may be put as

$$\delta_{\min} \leqslant \delta_{x} - \delta_{\text{COI}} \leqslant \delta_{\max}, \quad x \subseteq S_{G} \tag{11}$$

where  $\delta_{\min}$ ,  $\delta_{\max}$  are, respectively, the lower and the upper limits of generator rotor angles and  $S_G$  is the set of synchronous generators.

## Algorithms employed

In this article, a new algorithm, named as KHA [25], is tested to solve the TSCOPF problem of power system. Moreover, to improve the convergence speed and the quality of the simulation results, the idea of OBL is integrated with the basic KHA technique. To check the compatibility and the effectiveness of the proposed OKHA, it is compared with the basic KHA and other two recently developed evolutionary algorithms, namely, GSA [51,52] and BBO [53,54]. The details of these algorithms are explained below.

GSA, proposed by Rashedi et al. [51], is based on the law of gravity and motion. In this algorithm [48,51,52], agents are considered as objects and their performance is measured by their masses. The gravitational force, by which the objects attract each other, causes a global movement of all the objects toward the objects with heavier masses. The objects with heavier masses through which gravitational force cooperate by using a direct form of communication, guarantee the exploitation step of the algorithm and move more slowly than the lighter ones. In GSA, active gravitational mass, passive gravitational mass, position and inertial mass are the four specified masses or agents. Using a fitness function, its gravitational and inertial masses are determined while the position of the mass corresponds to a solution [48,51,52].

GSA could be considered as an isolated system of masses. Now, this masses present optimum solution in the search space. More precisely, masses obey the following laws:

#### Law of gravity

It states that any two bodies in the universe attract each other with a force that is directly proportional to the product of their masses and inversely proportional to the square of the distance between them.

#### Law of motion

The current velocity of any mass is equal to the sum of the fraction of its previous velocity and the variation in the velocity. Variation in the velocity or acceleration of any mass is equal to the force acted on the system divided by mass of inertia.

The position of the *i*th agent may be defined by considering a system of *K* agents (masses).

$$P_i = (p_i^1, \dots, p_i^d, \dots, p_i^n), \quad i = 1, 2, 3, \dots, N_p$$
(12)

where  $p_i^d$  presents the position of the agent *i* in the dimension *d* and *n* is the total dimension of the search space.

Based on GSA, mass of each agent may be calculated after computing the fitness of current population by using (13) and (14) [48]

$$m_i(t) = \frac{fitness_i(t) - worst(t)}{best(t) - worst(t)}$$
(13)

$$M_{i}(t) = \frac{m_{i}(t)}{\sum_{i=1}^{N_{p}} m_{i}(t)}$$
(14)

where  $M_i(t)$  and  $fitness_i(t)$  symbolize, respectively, the mass and the fitness value of the agent *i* at time *t* while worst(t) and best(t) are defined (for a minimization problem) [48] as follows:

$$best(t) = min_{i \in \{1, \dots, N_p\}} fitness_i(t)$$
(15)

$$worst(t) = max_{i \in \{1, \dots, N_p\}} fitness_i(t)$$
(16)

Now, based on the law of gravity, the total forces applied on an agent among a set of heavier masses may be calculated by using (17) which is followed by an another equation (refer (18)) that shows the calculation of acceleration based on the law of motion. After that, the velocity and position of agent may be updated, respectively, by (19) and (20) [48]. The updated velocity of an agent is considered to the sum of the fraction of its current velocity added to its acceleration.

$$X_{i}^{n}(t) = \sum_{j=k_{best}: i\neq j}^{K} rand_{j} \times G(t) \times \frac{M_{i}(t) \times M_{j}(t)}{H_{ij}(t) + \chi} \times (p_{j}^{n}(t) - p_{i}^{n}(t))$$
(17)

$$acc_{i}^{d}(t) = \frac{X_{i}^{n}(t)}{M_{i}(t)} = \sum_{j=k_{best}, j\neq i}^{K} rand_{j} \times G(t) \times \frac{M_{j}(t)}{H_{ij}(t) + \chi} \times (p_{j}^{n}(t) - p_{i}^{n}(t))$$

$$(18)$$

 $\nu_i^d(t+1) = rand_i \times \nu_i^d(t) + acc_i^d(t)$ (19)

$$P_i^d(t+1) = P_i^d(t) + u_i^d(t+1)$$
(20)

where  $rand_i$  and  $rand_j$  are two random numbers defined in the interval [0, 1],  $\chi$  is a small constant,  $k_{best}$  is the set of first *K* agents consist of best fitness value and bigger mass that may be considered as a function of time and  $H_{ij}(t)$  is the Euclidian distance between the two agents *i* and *j*, defined by (21).

$$H_{ij}(t) = \|p_i(t), p_j(t)\|_2$$
(21)

Here, the gravitational constant *G* may be considered as a function of the initial value ( $G_o$ ) and time (t) (*i.e.* decreased with time to control the search accuracy) formulated by (22) [48]

$$G(t) = G_0 \times e^{-\lambda \left(\frac{lter}{lter_{max}}\right)}$$
(22)

where  $G_o$  value is chosen as 100 [48],  $\lambda$  is preferred as 10 [48], *lter* and *lter*<sub>max</sub> are the present and the total number of iterations, respectively.

Computational procedure of GSA algorithm

The different steps of the GSA algorithm are shown in Algorithm 1 [48] while its flowchart may be found in Fig. 1 [51].

Algorithm 1: Con	nputational procedure of GSA [48]
Step 1	Search space identification.
Step 2	Generate initial population between
	minimum and maximum values.
Step 3	Evaluate the fitness of each agent.
Step 4	Update the mass of the object $M_i(t)$ using
	(13) and (14), <i>best</i> ( <i>t</i> ) based on (15), <i>worst</i>
	(t) based on (16). Also, update the
	gravitational constant $G(t)$ using (22).
Step 5	Calculate the total force using (17) for
	different directions.
Step 6	Calculate the acceleration using (18) and
	the velocity of an agent using (19).
Step 7	Update the position of an agent by $(20)$ .
Step 8	Check for constraints of the problem.
Step 9	Repeat Step 3 to Step 8 until the stopping
	criteria is satisfied.

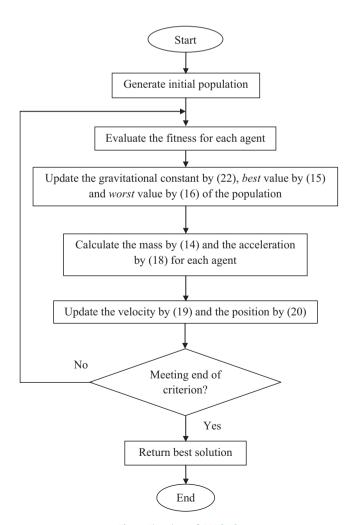


Fig. 1. Flowchart of GSA [51].

# BBO

Mathematical model of biogeography [53] describes the evolution of new species (i.e. the migration of species (like animals, fish, birds, or insects) between islands and the extinction of these species). A habitat is defined as an island (area) that is, geographically, isolated from the other islands. Islands that are friendly to life are said to have a high habitat suitability index (HSI). The features that correlate with the HSI values include rainfall, vegetative diversity, topographic diversity, land area, temperature and others. The index variables that gualify the suitability are termed as suitability index variables (SIVs). Islands with a high HSI can support many species while islands with a low HSI can support only few numbers of species. Islands with a high HSI have many species that emigrate to nearby habitats because of the large populations and the large numbers of species that they host. So, the emigration process may be defined as the migration of some species from a habitat to an exterior habitat whereas an entry of some species into one habitat from an outside habitat is known as immigration process. Islands with a high HSI not only have a high emigration rate, but they also have a low immigration rate because they already support many species. Species that migrate to such islands will tend to die in spite of the high HSI of the preferred island, because there is too much competition for resources from other species. Islands with a low HSI have a high immigration rate because of their low populations.

Comparing the concept of BBO with any other optimization technique, it may be noted that a higher value of HSI corresponds to a good solution while a lower value of HSI corresponds to a poor solution. Poor solutions accept much more new features from good solutions that help to raise the quality of poor solutions with low HSI.

Mathematically, the whole process of immigration and emigration may be expressed by a probabilistic model. If  $P_s(t)$  denotes the probability of a habitat at time *t* containing exactly *s* species, then at time ( $t + \Delta t$ ), the probability will be given by (23) [53,54]

$$P_{s}(t + \Delta t) = P_{s}(t)(1 - \lambda_{s}\Delta t - \mu_{s}\Delta t) + P_{(s-1)}\lambda_{(s-1)}\Delta t$$
$$+ P_{(s+1)}\mu_{(s+1)}\Delta t$$
(23)

If  $\Delta t$  time is taken as very small, then the probability of more than one immigration or emigration may be ignored. Taking the limit as  $\Delta t \rightarrow 0$ , the species count probability may, mathematically, be expressed as follows [53,54]:

$$\dot{P}_{s} = \begin{cases} -(\lambda_{s} + \mu_{s})P_{s} + \mu_{s+1}P_{s+1}, & S = 0\\ -(\lambda_{s} + \mu_{s})P_{s} + \lambda_{s-1}P_{s-1} + \mu_{s+1}P_{s+1}, & 1 \leq S \leq S_{max} - 1\\ -(\lambda_{s} + \mu_{s})P_{s} + \lambda_{s-1}P_{s-1}, & S = S_{max} \end{cases}$$
(24)

where  $P_{s-1}$  is the probability of habitat containing (s - 1) species,  $P_{s+1}$  is the probability of habitat containing (s + 1) species,  $\lambda_s$  and  $\mu_s$  are the immigration and emigration rate, respectively, for habitat containing *s* species,  $\lambda_{s-1}$  and  $\mu_{s-1}$  are the immigration and emigration rate, respectively, for habitat containing (s - 1) species,  $\lambda_{s+1}$  and  $\mu_{s+1}$  are the immigration and emigration rate, respectively, for habitat containing (s + 1) species and  $S_{max}$  is the maximum count of species in the habitat.

Immigration rate ( $\lambda_s$ ) and emigration rate ( $\mu_s$ ) may be represented by (25) and (26), in order, as follows [53,54]

$$\lambda_{\rm s} = I \left( 1 - \frac{S}{S_{max}} \right) \tag{25}$$

$$\mu_s = \frac{E \times S}{S_{max}} \tag{26}$$

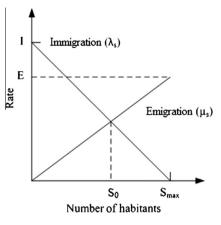


Fig. 2. Species model of a single habitat BBO [53].

where *I* is the maximum immigration rate and *E* is the maximum emigration rate, respectively.

Fig. 2 describes the model of habitants' profusion in a single habitat. The two functions,  $\mu_s$  and  $\lambda_s$ , are the number of habitants in the habitat. From this figure, it may be seen that the presence of zero habitant in the habitat results to the maximum possible immigration rate of *I* (in case of immigration curve). Due to the increase of number of species, the habitat becomes more crowded and a few number of species are able to successfully endure and, as a result, the immigration rate decreases. The largest possible number of habitants is  $S_{max}$  where the immigration rate becomes zero. On the other side, in case of emigration curve, the emigration rate must be zero for the presence of zero habitants. When the habitat is becoming more crowded, the emigration rate increases. In Fig. 2,  $S_0$  is the equilibrium point, where immigration and emigration rate become equal [53,54].

BBO technique is, mainly, based on migration and mutation. The basic concept of migration and mutation are given below.

#### Migration

In this algorithm, population of candidate solutions is represented as vector of real numbers. For sharing the information between habitats, emigration and immigration rates of individuals are used. Here, each solution may be modified based on other solution using habitat modification probability. If any individual solution is selected to be modified, then immigration rate ( $\lambda_s$ ) is used to probabilistically decide whether or not to modify any SIV in that solution. After selection of any SIV for modification, emigration rates ( $\mu_s$ ) of other solutions are applied, to probabilistically select among the population set for migration.

# Mutation

In BBO, species count probabilities are used to determine mutation rates. Species count probability of each individual may be calculated by using (24). Each population member relates to an associated probability that indicates the likelihood with which it exists as a solution for a given problem. If the probability has lower value, then the chance of mutation with other solution is higher but if the probability has a higher value, then it has very little chance to mutate. Mutation rate of each individual solution may be defined by (27) [53,54]:

$$m(s) = m_{max} \left(\frac{1 - Ps}{P_{max}}\right) \tag{27}$$

where m(s) is the mutation rate for habitat containing *s* species,  $m_{\text{max}}$  is the maximum mutation rate and  $P_{\text{max}}$  is the maximum probability.

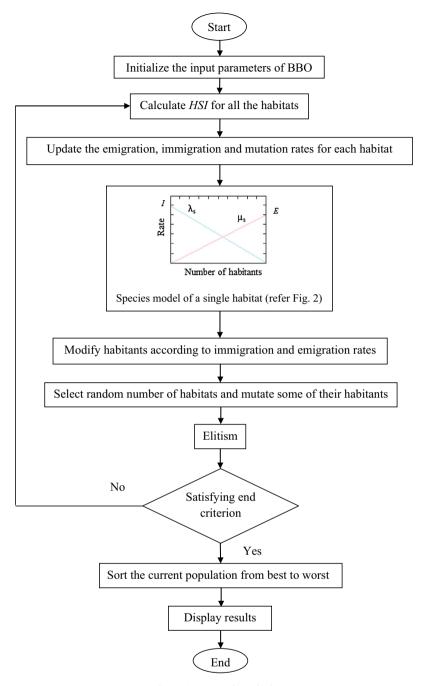


Fig. 3. Flowchart of BBO [54].

	ocedure of BBO <sup>3</sup> ] describes the computational procedure of the rithm while Fig. 3 portrays its flowchart [54].	Step 4	Adjust each non-elite habitat by probabilistically using immigration and emigration rates. Update the probability of species count for each habitat using (24). Transform		
Algorithm 2: Co	omputational procedure of BBO [53]	Step 5			
Step 1 Step 2 Step 3	Initialize the BBO parameters. Initialize the random set of habitats where each habitat is analogous to the potential solution to the particular problem. For each habitat, update the mutation rate, the immigration rate and the emigration rate.	Step 6Sort the population from the best worst.Step 7Go to Step 3 for next iteration.Step 8Stop iterations after reaching a construction.			

KHA

KHA is a novel meta-heuristic swarm intelligence based optimization method for solving optimization problems. It is based on the herding behavior of krill swarms in response to the specific biological and environmental processes. It has been first introduced by Gandomi and Alavi in 2012 [25]. In this optimization algorithm, the objective function for the krill movement is supposed to be a combination of the least distances of the position of the food and the highest density of the herd. The KHA repeats the implementation of the three movements and follows the search directions that enhance the objective function value. The movement of each individual krill is determined by three main processes. These are:

- (a) movement induced by other krill individuals.
- (b) foraging activity.
- (c) random diffusion.

Basic KHA technique may be expressed by Lagrangian model in an *n* dimensional decision space, as shown in (28) [25]

$$\frac{du_p}{dt} = W_p^{new} + W_{F_p}^{new} + W_{D_p}^{new}$$
(28)

where  $W_p^{new}$  is the motion induced by other krill individuals,  $W_{F_p}^{new}$  is the foraging motion and  $W_{D_p}^{new}$  is the physical diffusion of the krill individuals, respectively.

#### Motion induced by other krill individuals

In this process, the krill individuals try to maintain a high density while the velocity of each individual is influenced by the movement of the others. The direction of motion induced  $(\psi_p)$  is, approximately, evaluated by the three effects, namely, (a) local effect, (b) target effect and (c) repulsive effect. For a krill individual *p*, this motion may be formulated as

$$W_p^{new} = \psi_p W_p^{max} + u_w W_p^{old} \tag{29}$$

$$\psi_{p} = \sum_{q=1}^{n_{s}} \left[ \frac{\nu_{p} - \nu_{q}}{\nu_{w} - \nu_{b}} \times \frac{u_{p} - u_{q}}{|u_{p} - u_{q}| + rand (0, 1)} \right] + 2 \left[ rand (0, 1) + \frac{z}{z_{max}} \right] \nu_{p}^{best} X_{p}^{best}$$
(30)

where  $W_p^{max}$  is the maximum induced motion,  $u_w$  is the inertia weight of the motion induced in the range [0, 1],  $W_p^{old}$  is the previous induced motion of the *p*th krill individuals,  $v_w$  and  $v_b$  are the worst and the best position among all the krill individuals of the population, respectively,  $v_p$  and  $v_q$  are the fitness values of the *p*th and the *q*th individuals, respectively,  $n_s$  is the number of krill individuals other than the particular krill, z and  $z_{max}$  are, respectively, the number of current iteration and maximum number of iterations and *u* represents the related positions.

For the determination of the distance between the individual krills and the neighbors, a parameter named as sensing distance  $(D_s)$  is used. It may be formulated by (31)

$$D_s = \frac{1}{5N_p} \sum_{k=1}^{N_p} |u_p - u_k|$$
(31)

where  $N_p$  is the total number of the krill individual and  $u_x$ ,  $u_k$  are the position of the *x*th and *k*th krill, respectively. It is noted here that if the distance between the two individual krill has lesser value than the sensing distance, then they are treated as neighbors.

#### Foraging activity

Foraging activity is based upon two main factors. First is the present food location and second is the information about the previous food location. The foraging velocity may be expressed for the *p*th krill individual by (32)

$$W_{F_p}^{new} = 0.02$$

$$\times \left[ 2 \times \left( 1 - \frac{z}{z_{max}} \right) \times v_p \times \frac{\sum_{k=1}^{n_s} \frac{u_k}{v_k}}{\sum_{k=1}^{n_s} \frac{1}{v_q}} + v_p^{best} \times X_p^{best} \right]$$

$$+ u_{w_F} \times W_{F_p}^{old}$$
(32)

where  $u_{w_F}$  is the inertia weight of the foraging motion,  $W_{F_p}^{new}$  and  $W_{F_p}^{old}$  are the foraging motions of the new and the old *p*th krill, respectively.

#### Random diffusion

The random diffusion process of the krill individual is, mainly, considered to enhance the population diversity. It may be expressed as follows:

$$W_{D_n}^{new} = \alpha \times W_D^{max} \tag{33}$$

where  $W_D^{max}$  is the maximum diffusion speed and  $\alpha$  is the random directional vector lies between [-1, 1].

#### Position update

In this process, the individual krill alters its current positions and moves to better positions based on induction motion, foraging motion and random diffusion motion. According to the three above discussed motions, the updated position of the pth krill individual may be expressed by (34)

$$u_p^{new'} = u_p^{new} + (W_p^{new} + W_{F_p}^{new} + W_{D_p}^{new}) \times P_C \sum_{q=1}^{n_d} (U_q - L_q)$$
(34)

where  $n_d$  is the total number of variables,  $U_q$  and  $L_q$  are the upper and the lower limits of the *q*th variables ( $q = 1, 2, ..., n_d$ ), respectively and  $P_{\rm C}$  is the position constant number between [0, 2].

In order to improve the performance of the optimization problem and to speed up the convergence property, the crossover and the mutation process of DE algorithm is incorporated with KHA.

#### (a) Crossover

Crossover process is, mainly, controlled by a parameter, called as crossover probability (CR). To update the position of own, each krill individual interacts with others. In this process, the qth component of the *p*th krill may be formulated by (35) and (36)

$$u_{p,q} = \begin{cases} u_{k,q} & \text{if } rand \leq CR \\ u_{p,q} & \text{if } rand < CR \end{cases} \quad \text{where } k = 1, 2, \dots, N_p \tag{35}$$

$$CR = 0.2 v_p^{best} \tag{36}$$

(b) Mutation

Mutation process is, mainly, controlled by a parameter called as mutation probability (MR). This process may be formulated by (37)

$$u_{p,q} = u_{best,q} + \gamma(u_{m,q} - u_{n,q}) \tag{37}$$

where  $u_{best,q}$  is the global best vector,  $u_{m,q}$  and  $u_{n,q}$  are the two randomly selected vectors and  $\gamma$  is a scalar number between 0 and 1. The modified value of  $u_{p,q}$  may be calculated by using (38)

$$u_{p,q}^{mod} = \begin{cases} u_{p,q}^{new} & \text{if} \quad rand \leqslant MR\\ u_{p,q} & \text{if} \quad rand > MR \end{cases}$$
(38)

Computational procedure of KHA technique

The computational steps of KHA technique are presented in Algorithm 3 [25] and the corresponding flowchart is drawn in Fig. 4 [25].

Algorithm 3: Comp	outational procedure of KHA [25]					
Step 1	Initialization of the algorithm					
	parameters.					
Step 2	Afterward, depending upon the					
	population size, initial feasible					
	population set are generated and it may					
	be expressed by (39).					
	$\begin{bmatrix} X_{\alpha}^1, X_{\alpha}^1, \dots, X_{\alpha}^1, \dots, X_{\alpha}^1 \end{bmatrix}$					
	$\begin{vmatrix} \mathbf{A}_{g_1}, & \mathbf{A}_{g_2}, & \dots, & \mathbf{A}_{g_p}, & \dots, & \mathbf{A}_{g_n} \\ \mathbf{v}^2 & \mathbf{v}^2 & \mathbf{v}^2 & \mathbf{v}^2 \end{vmatrix}$					
	$X^{N_{p}} = \begin{bmatrix} X_{g_{1}}^{1}, & X_{g_{2}}^{1}, & \dots, & X_{g_{p}}^{1}, & \dots, & X_{g_{n}}^{1} \\ X_{g_{1}}^{2}, & X_{g_{2}}^{2}, & \dots, & X_{g_{p}}^{2}, & \dots, & X_{g_{n}}^{2} \\ X_{g_{1}}^{p}, & X_{g_{2}}^{p}, & \dots, & X_{g_{p}}^{p}, & \dots, & X_{g_{n}}^{p} \\ \vdots & \vdots & \dots, & \vdots & \dots & \vdots \\ X_{g_{1}}^{N_{p}}, & X_{g_{2}}^{N_{p}}, & \dots, & X_{g_{p}}^{N_{p}}, & \dots, & X_{g_{n}}^{N_{p}} \end{bmatrix} $ (39)					
	$\mathbf{A} = \begin{bmatrix} \mathbf{X}_{g_1}^r, & \mathbf{X}_{g_2}^r, & \dots, & \mathbf{X}_{g_p}^r, & \dots, & \mathbf{X}_{g_n}^r \end{bmatrix} $ (33)					
	$\begin{bmatrix} \ddots & \cdots, & \ddots & \cdots & \ddots \\ X^{N_p}, & X^{N_p}, & \cdots, & X^{N_p}, & \cdots, & X^{N_p} \end{bmatrix}$					
	[ 51 ' 52 ' 5p ' 5n ]					
Step 3	Determination of the fitness value of each					
stop c	individual according to its position using					
	(34).					
Step 4	Evaluation of motion induced by other					
-	krill individuals, foraging motion and					
	random diffusion motion using (29), (32)					
	and (33), in order.					
Step 5	Modification of the position of each krill					
	individual using (34).					
Step 6	Implementation of crossover and					
	mutation process to modify the position					
	of each individual krill in the search					
a. <b>-</b>	space using (35) and (37), respectively.					
Step 7	Stop if maximum number of iteration is					
	achieved, otherwise repeat from Step 2.					

# ОКНА

**Opposition based learning** 

OBL is, basically, a machine intelligence strategy which was expressed by Tizhoosh [41]. It considers the current individual and its opposite individual simultaneously in order to get a better approximation at the same time for a current candidate solution. It has been also proved that an opposite candidate solution has a greater opportunity to be closer to the global optimum solution than a random candidate solution [55]. So, the concept of OBL may be utilized to enhance the performance of the population-based algorithms [56].

The general OBL concept has been, successfully, applied in DE [57], GA [58], reinforcement learning [59], ACO [60], window memorization [61], SA [62], PSO [63,64], fuzzy sets [65], BBO [66,67], teaching learning based optimization (TLBO) [68] and so on.

In proposing this technique, two definitions (*i.e.* opposite point and opposite number) should be clearly defined as follows.

#### **Opposite** number

Let  $p \in [u, v]$  be a real number. The opposite number of  $p^*$  is defined by (40)

$$p^* = u + v - p \tag{40}$$

**Opposite** point

Let,  $P = (p_1, p_2, ..., p_d)$  be a point in *d*-dimensional space, where  $p_m \in [u_m, v_m]$  and  $m = \{1, 2, ..., d, ..., n\}$ . The opposite point is defined by (41)

$$p_m^* = u_m + v_m - p_m \tag{41}$$

#### Opposition based population initialization

By utilizing opposite points, a suitable starting candidate solution may be obtained even when there is not a priori knowledge about the solution. The main steps of the proposed approach are listed below:

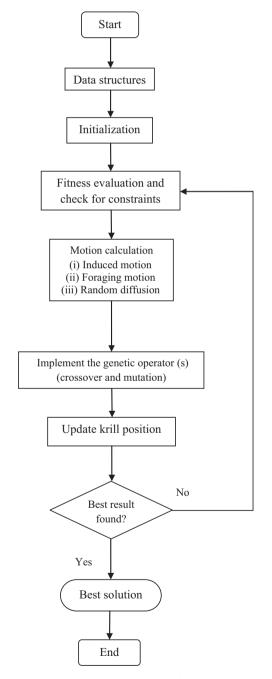


Fig. 4. Flowchart of KHA [25].

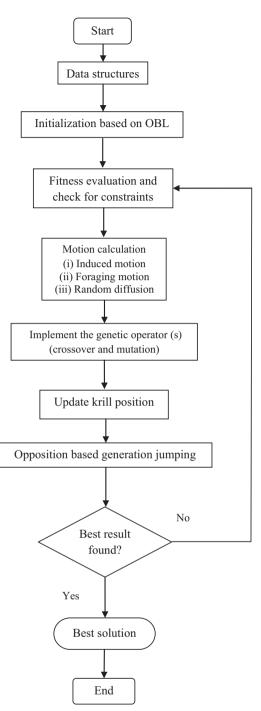


Fig. 5. Flowchart of the proposed OKHA.

Step 1	Initialize the population set $P(N_p)$ in a random manner.
Step 2	Calculate opposite population by
	$OP_{m,n} = u_n + v_n - P_{m,n}$ $m = 1, 2, \dots, N_p$ and $n = 1, 2, \dots, d$ (42)
Step 3	where $P_{m,n}$ and $OP_{m,n}$ denote the <i>n</i> th variable of the <i>m</i> th vector of the population and opposite population, respectively. Select the fittest individuals from $\{P \cup OP\}$ as initial population.

#### Opposition based generation jumping

If the similar type of approach (as mentioned above) is applied to the current population, the whole evolutionary process can be forced to jump to a new candidate solution which is more suitable than the current one. Based on a jumping rate ( $J_R$ ), after following the induction, the foraging action and the random diffusion processes of KHA, the new population is generated and opposite population is calculated. From this comparison, the fittest individuals are selected. In each generation, search space is reduced to calculate the opposite points *i.e.* 

$$OP_{m,n} = Min_n^p + Max_n^p - P_{m,n} m = 1, 2, ..., N_p \text{ and } n = 1, 2, ..., d$$
(43)

where  $\lfloor Min_n^p, Max_n^p \rfloor$  is the current interval in the population which is becoming increasingly smaller than the corresponding initial range  $[u_n, v_n]$ .

#### Flowchart of OKHA

The flow chart of the proposed OKHA algorithm is shown in Fig. 5.

#### OKHA applied to TSCOPF problem

The main steps of the proposed OKHA approach, as applied to the TSCOPF problem of the present work, is described in Algorithm 4.

Algorithm 4 TSCOPF pro	l: Implementation of the proposed OKHA for blem
Step 1	Initialize all the individual population within their effective real operating limits in a random manner. The voltages of generator buses, active power of the entire generator buses except slack bus, tap settings of regulating transformers, reactive power injections are generated randomly satisfying the inequality constraints defined in (5)-(7). Afterward, Newton Raphson based load flow [69] is run to check if the constraints are within the limits or not. Moreover, the transient stability criterion, defined in $(11)$ , is verified. If any one of these does not satisfy the inequality constraints, then that particular set has to be discarded and initialised again. Now, several initial set ( <i>P</i> ), depending upon the population size, are generated. A feasible solution set represents the position of different krill individuals. Depending upon the population size, initial krill position matrix is created by (39).
Step 2	For determining the opposite population ( <i>OP</i> ) set using opposition based learning, again the voltages of generator buses, active power of the entire generator buses except slack bus, tap settings of regulating transformers, reactive power injections are evaluated by using (42) and Newton Raphson based load flow method [69] is run to satisfy the equality constraints. Moreover, the transient inequality criterion is verified. If any one of these violates the limits, then the corresponding set is reinitialised.
Step 3	Select the fittest individuals from $\{P \cup OP\}$ .
Step 3	Sort the selected fittest vectors from best to
Step 4	Worst.

Step 5 Choose few elite solutions based on the fitness value.

(continued on next page)

Step 6	The position of krill individuals of non-elite population set are modified using (34). Afterward, the position of each krill individual is further modified applying crossover and mutation operation of DE (defined in (35) and (37), in order).
Step 7	Run load flow [69] to evaluate the dependent variables of TSCOPF and calculate the fitness value of the population set.
Step 8	Based on jumping rate $(J_R)$ , the opposite population of the current population and corresponding fitness values are calculated.
Step 9	Fittest individuals are determined from $\{P \cup OP\}$ .
Step 10	Stop if maximum number of iteration is achieved, otherwise repeat from Step 6.

personal computer with Intel Pentium Dual-Core 1.73 GHz processor with 2GB-RAM. For implementing the techniques in TSCOPF problems, maximum number of iterations of 100 (for both KHA and OKHA), integration time step of 0.01 s, total population size of 50 (for both KHA and OKHA), jumping rate ( $J_R$ ) of 0.3 are considered. Total simulation period is 5.0 s for all the simulation works. Also, input parameters of KHA are taken from [25], which are as follows:  $W_p^{max} = 0.01$ ,  $W_D^{max} = 0.005$ ,  $P_C = 0.5$  and the values of initial inertia weights ( $u_w$  and  $u_{w_F}$ ) are equal to 0.9 for increasing exploration and these values are decreased linearly to 0.1 at the end for encouraging exploitation. In order to demonstrate the robustness, statistical comparison such as best, worst and mean results over 50 independent trial runs are reported. To indicate the superior optimization capability of the proposed OKHA, the results of interest are **bold faced** in all the respective tables.

#### Test case 1: 10 generator New England 39-bus system

This system comprises of 10 generator buses and 19 load buses. Bus 39 is taken here as the slack bus. The system data are available in [69]. The cost co-efficient data and power generation limits of different generators of this system are adopted from [18] and are given in Table 1. A 3-phase to ground fault occurs at bus 29 and between lines 28–29 in the system. The fault is cleared by opening the contacts of the circuit breakers by 100 ms. The simulation results of the proposed OKHA technique is shown in Table 2 and its results are compared to the other obtained results offered by generator classical model (CM) [70], generator detailed model

# Simulation results and discussion

In this section, KHA and the proposed OKHA algorithms are applied for the solution of the TSCOPF problem of power system. Two different test systems (*viz.* 10 generator New England 39bus system and 17 generator 162-bus system) are considered for verifying their applicability for TSCOPF study. For both the systems, a classical generator model is used as the synchronous generators and a constant impedance model is used for the loads. All simulations are carried out using MATLAB 2008a and implemented on a

#### Table 1

Cost co-efficient data and power generation limits of 10 generator New England 39-bus system [18].

Generator	a <sub>x</sub>	$b_x$	C <sub>x</sub>	$P_{\min}$ (MW)	$P_{\max}$ (MW)
G <sub>1</sub>	0.0193	6.9	0	0	350
G <sub>2</sub>	0.0111	3.7	0	0	650
G <sub>3</sub>	0.0104	2.8	0	0	800
G <sub>4</sub>	0.0088	4.7	0	0	750
G <sub>5</sub>	0.0128	2.8	0	0	650
G <sub>6</sub>	0.0094	3.7	0	0	750
G <sub>7</sub>	0.0099	4.8	0	0	750
G <sub>8</sub>	0.0113	3.6	0	0	700
G <sub>9</sub>	0.0071	3.7	0	0	900
G <sub>10</sub>	0.0064	3.9	0	0	1200

Table 2
Simulation results obtained by different methods for fault at bus number 29 of 10 generator New England 39-bus system.

	-				-		
Control variables	DSA [71]	CM [70]	DM [70]	GSA [Studied]	BBO [Studied]	KHA [Studied]	OKHA [Proposed
<i>PG</i> <sub>30</sub> (p.u.)	2.4783	2.4873	2.49447	2.3940	2.7508	2.4599	2.4656
<i>PG</i> <sub>31</sub> (p.u.)	5.7723	5.7784	5.78359	5.7649	5.6827	5.6758	5.6849
PG <sub>32</sub> (p.u.)	6.5341	6.5447	6.54356	6.4892	6.6363	6.2331	6.4291
<i>PG</i> <sub>33</sub> (p.u.)	6.4328	6.4500	6.4176	5.6787	6.4231	6.4613	6.3341
$PG_{34}$ (p.u.)	5.1778	5.1882	5.1741	5.4076	5.1307	5.1490	4.9784
PG <sub>35</sub> (p.u.)	6.6246	6.6432	6.60731	6.2537	6.7498	6.0591	6.3682
PG <sub>36</sub> (p.u.)	5.6959	5.7137	5.68181	5.4954	5.4800	5.5764	5.4440
<i>PG</i> <sub>37</sub> (p.u.)	5.4388	5.4781	5.47695	5.1126	5.5535	5.2100	5.3074
<i>PG</i> <sub>38</sub> (p.u.)	7.7454	7.5202	7.54618	8.4546	7.6663	8.4106	8.4546
<i>PG</i> <sub>39</sub> (p.u.)	10.0035	9.9560	10.03181	10.3041	9.2867	10.1462	9.8996
V <sub>30</sub> (p.u.)	0.9840	1.0150	1.0150	1.0928	1.0977	1.0824	1.0964
V <sub>31</sub> (p.u.)	1.0740	1.0870	1.0870	1.0873	1.0912	1.0447	1.0934
V <sub>32</sub> (p.u.)	1.0080	1.0290	1.0290	1.0860	1.0766	1.0518	1.0870
V <sub>33</sub> (p.u.)	1.0140	1.0160	1.0160	1.0931	1.0974	1.0763	1.0993
V <sub>34</sub> (p.u.)	1.0190	1.0220	1.0220	1.0967	1.0938	1.0435	1.0967
V <sub>35</sub> (p.u.)	1.0670	1.0620	1.0620	1.0820	1.0986	1.0800	1.0980
V <sub>36</sub> (p.u.)	1.0870	1.0900	1.0900	1.0999	1.0989	1.0820	1.0999
V <sub>37</sub> (p.u.)	1.0120	1.0470	1.0470	1.0974	1.0956	1.0679	1.0974
V <sub>38</sub> (p.u.)	1.0510	1.0380	1.0380	1.0988	1.0922	1.0962	1.0988
V <sub>39</sub> (p.u.)	1.0190	1.0530	1.0530	1.0948	1.0923	1.0844	1.0973
Cost (\$/h)	61799.68	61600.76	61597.76	60969.18	60957.87	60943.69	60883.55

#### Table 3

Statistical comparison (out of 50 independent trial runs) among various methods for 10 generator New England 39-bus system.

Parameter	DSA [71]	CM [70]	DM [70]	GSA [Studied]	BBO [Studied]	KHA [Studied]	OKHA [Proposed]
Best cost (\$/h)	61799.68	61600.76	61597.76	60969.18	60957.87	60943.69	<b>60883.55</b>
Worst cost (\$/h)	NR*	NR*	NR*	61015.13	61008.42	60985.19	60892.25
Mean cost (\$/h)	NR*	NR*	NR*	60989.15	60985.17	60962.24	60885.77

NR\* means not reported in the referred literature.

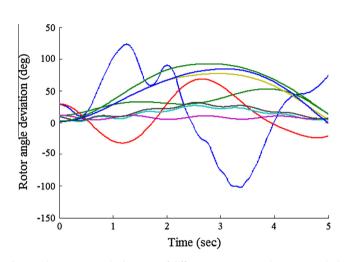


Fig. 6. Relative rotor angle deviation of different generators with respect to slack bus for 10 generator New England 39-bus system using GSA.

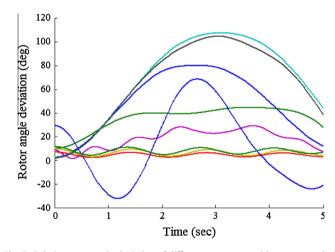


Fig. 7. Relative rotor angle deviation of different generators with respect to slack bus for 10 generator New England 39-bus system using BBO.

(DM) [70], dynamic simulation algorithm (DSA) [71], GSA, BBO and KHA techniques. It is found that the total cost from OKHA is less than that obtained by all other discussed algorithms.

Best, mean and worst fuel costs obtained by GSA, BBO, KHA and the proposed OKHA are presented in Table 3. From these statistical results, it is clear that the worst cost value of OKHA algorithm is even less as compared to the best fuel costs of other existing techniques. This observation clearly suggests the higher computational efficiency of OKHA than any other methods in terms of quality of solution and robustness. The relative rotor angle deviation curves of different generators with respect to slack bus are shown in Figs. 6–9, sequentially, (using GSA, BBO, KHA and proposed OKHA technique). From this rotor angle deviation curves, it is seen that all the curves are within limits and maintains stability. The convergence characteristics obtained by different techniques of this test

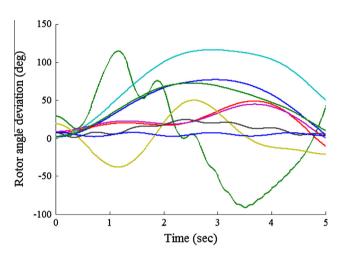


Fig. 8. Relative rotor angle deviation of different generators with respect to slack bus for 10 generator New England 39-bus system using KHA.

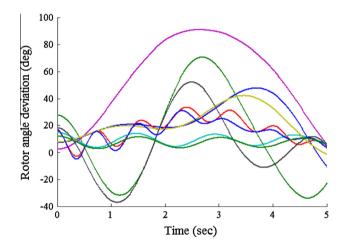


Fig. 9. Relative rotor angle deviation of different generators with respect to slack bus for 10 generator New England 39-bus system using OKHA.

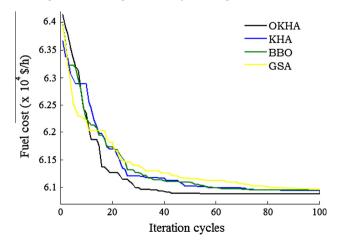


Fig. 10. Comparative convergence characteristic of fuel cost for 10 generator New England 39-bus system.

#### Table 4

Cost co-efficient data and power generation limits of 17 generator 162-bus system [72].

Generator	a <sub>x</sub>	$b_x$	C <sub>x</sub>	$P_{\min}$ (MW)	$P_{\max}$ (MW)
G <sub>3</sub>	0.00064	0.50	0.0	1000	2300
$G_6$	0.00098	0.30	0.0	500	1094
G <sub>15</sub>	0.00076	0.50	0.0	1000	1800
G <sub>27</sub>	0.00076	0.50	0.0	1000	1800
G <sub>73</sub>	0.00150	0.20	0.0	200	747
G <sub>76</sub>	0.00088	0.30	0.0	500	1355
G <sub>99</sub>	0.00200	0.40	0.0	0	450
G <sub>101</sub>	0.00200	0.40	0.0	0	382
G <sub>108</sub>	0.00084	0.30	0.0	0	1200
G <sub>114</sub>	0.00200	0.40	0.0	0	431
G <sub>118</sub>	0.00200	0.40	0.0	0	473
G <sub>121</sub>	0.00150	0.30	0.0	200	920
G <sub>124</sub>	0.00640	0.52	0.0	1000	2851
G <sub>125</sub>	0.00640	0.67	0.0	1000	2688
G <sub>126</sub>	0.00640	0.42	0.0	1000	2767
G <sub>130</sub>	0.00150	0.30	0.0	200	755
G <sub>131</sub>	0.00150	0.30	0.0	200	875



Simulation results obtained by different methods for fault at bus number 1 of 17 generator 162-bus system.

Control	GSA	BBO	KHA	OKHA
variables	[Studied]	[Studied]	[Studied]	[Proposed]
<i>PG</i> <sub>3</sub> (p.u.)	20.8952	21.7302	19.3818	19.9436
$PG_6$ (p.u.)	10.4926	10.8603	10.7574	10.8517
<i>PG</i> <sub>15</sub> (p.u.)	16.1527	16.2511	17.1541	16.9445
<i>PG</i> <sub>27</sub> (p.u.)	17.5148	17.9683	17.6595	17.9750
PG <sub>73</sub> (p.u.)	7.4503	6.2834	7.3883	6.9504
PG <sub>76</sub> (p.u.)	9.5115	9.6565	9.5236	9.9380
PG <sub>99</sub> (p.u.)	4.1530	3.4393	4.4420	4.4194
<i>PG</i> <sub>101</sub> (p.u.)	3.7575	3.7544	3.6922	3.6567
<i>PG</i> <sub>108</sub> (p.u.)	9.7397	9.5216	9.5115	9.9905
<i>PG</i> <sub>114</sub> (p.u.)	4.2481	4.2173	4.2053	4.3053
<i>PG</i> <sub>118</sub> (p.u.)	4.3501	4.6996	4.6884	4.7010
<i>PG</i> <sub>121</sub> (p.u.)	8.5450	9.0452	8.8195	8.1637
<i>PG</i> <sub>124</sub> (p.u.)	10.8524	10.0329	10.0178	10.7940
<i>PG</i> <sub>125</sub> (p.u.)	10.6664	11.0298	10.4218	10.0368
<i>PG</i> <sub>126</sub> (p.u.)	10.2292	10.3578	11.3468	10.4579
<i>PG</i> <sub>130</sub> (p.u.)	7.5071	7.5193	7.2521	7.2489
<i>PG</i> <sub>131</sub> (p.u.)	8.6936	8.4358	8.5284	8.1677
V <sub>3</sub> (p.u.)	1.0533	1.0591	1.0518	1.0569
V <sub>6</sub> (p.u.)	1.0548	1.0597	1.0532	1.0558
V <sub>15</sub> (p.u.)	1.0515	1.0495	1.0535	1.0414
V <sub>27</sub> (p.u.)	1.0446	1.0537	1.0434	1.0502
V <sub>73</sub> (p.u.)	1.0510	1.0565	1.0510	1.0593
V <sub>76</sub> (p.u.)	1.0412	1.0545	1.0587	1.0585
V <sub>99</sub> (p.u.)	1.0548	1.0542	1.0562	0.9943
V <sub>101</sub> (p.u.)	1.0509	1.0574	1.0475	1.0567
$V_{108}$ (p.u.)	1.0567	1.0526	1.0431	1.0376
V <sub>114</sub> (p.u.)	1.0462	1.0436	1.0485	1.0230
V <sub>118</sub> (p.u.)	1.0336	1.0546	1.0521	1.0484
V <sub>121</sub> (p.u.)	1.0537	1.0598	1.0594	1.0568
V <sub>124</sub> (p.u.)	1.0585	1.0585	1.0535	1.0514
V <sub>125</sub> (p.u.)	1.0457	1.0523	1.0569	1.0481
V <sub>126</sub> (p.u.)	1.0358	1.0590	1.0511	1.0497
V <sub>130</sub> (p.u.)	1.0552	1.0528	1.0447	1.0483
V <sub>131</sub> (p.u.)	1.0570	1.0580	1.0244	1.0476
Cost (\$/h)	45234.08	45220.62	45162.77	44666.16
Loss (p.u.)	6.2126	6.2562	6.2439	5.9985

#### Table 6

Statistical comparison (out of 50 independent trial runs) among various methods for 10 generator 162-bus system.

Parameters	GSA [Studied]	BBO [Studied]	KHA [Studied]	OKHA [Proposed]
Best cost (\$/h)	45234.08	45220.62	45162.77	44666.16
Worst cost (\$/h)	45282.93	45263.57	45204.42	44676.71
Mean cost (\$/h)	45259.65	45241.49	45186.59	44674.54

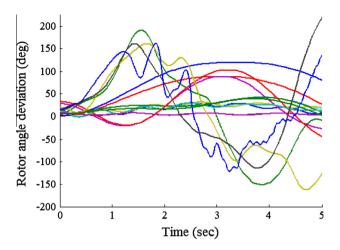
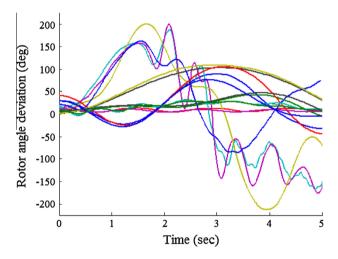


Fig. 11. Relative rotor angle deviation of different generators with respect to slack bus for 17 generator 162-bus system using GSA.



**Fig. 12.** Relative rotor angle deviation of different generators with respect to slack bus for 17 generator 162-bus system using BBO.

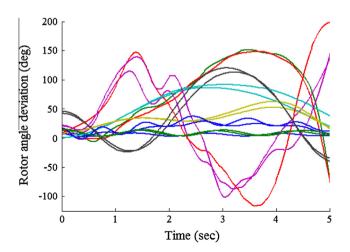
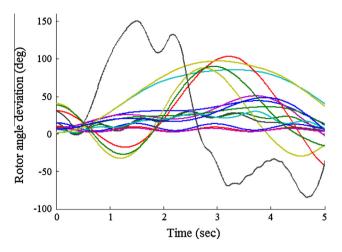


Fig. 13. Relative rotor angle deviation of different generators with respect to slack bus for 17 generator 162-bus system using KHA.

system are illustrated in Fig. 10. From the comparative convergence characteristics of the fuel cost, it is fascinating to observe that the cost function value converges smoothly at lesser iteration cycles for the proposed OKHA than GSA, BBO and KHA techniques.



**Fig. 14.** Relative rotor angle deviation of different generators with respect to slack bus for 17 generator 162-bus system using OKHA.

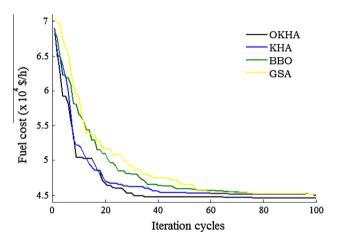


Fig. 15. Comparative convergence characteristics of fuel cost for 17 generator 162bus system.

# Test case 2: 17 generator 162-bus system

In order to further investigate the superiority and robustness of the proposed OKHA method, it is, finally, applied on a large system consisting of 162 buses. The upper and lower limits of bus voltage magnitudes are 0.94-1.06 p.u., respectively. System data of this test system is available in [72]. The fuel cost parameters and corresponding generator ratings are given in Table 4. A 3-phase to ground fault occurs at bus 1 and within lines 1-4. The fault is cleared by tripping the line at 0.240 s. The proposed algorithm is used to minimize the same objective function as used in Test case 1 and its performance is compared to that of GSA, BBO and KHA. The simulation results of the proposed OKHA technique along with GSA, BBO and KHA are given in Table 5. From Table 5, it is observed that, in minimizing the fuel cost, OKHA, GSA, BBO and KHA do not violate any operating constraint limits. The comparison shows that OKHA provides the minimum cost among all the comparative algorithms which demonstrate that the proposed method outperforms the other techniques in terms of optimal solutions.

Moreover, the statistical comparisons of best, worst and mean fuel cost values of different algorithms are listed in Table 6. From the statistical results of Table 6, it is clear that the difference among the best, worst and mean objective cost values, as obtained by OKHA, are very much insignificant. Moreover, it is observed that the worst value of OKHA is even much better than the best values offered by the other indicated methods. It clearly suggests that the proposed OKHA method produces similar results in most of the trials and, thus, the robustness of the proposed method may be proved. Figs. 11–14 are pertaining to the relative rotor angle deviation curves of different generators with respect to the slack bus of this test system (in the order of employing GSA, BBO, KHA and the proposed OKHA techniques). From rotor angle deviation curves, it may be inferred that all the curves are within safe limits and maintains stability. To illustrate the convergence property of the different algorithms, fuel cost values over 100 iterations are plotted in Fig. 15. This comparative convergence graph shows that cost function value converges smoothly by all the methods without any abrupt oscillations and OKHA converges at lesser iteration cycles than all other adopted methods.

#### Constraint-handling mechanism

Swarm intelligence behavior based nature-inspired evolutionary algorithms are unable to deal with the constraint-handling mechanism of constraints for solving numerical optimization problems. Recently, different researches are going on for successful handling of these constraints to solve nature inspired algorithms. The most significant and delegate techniques in this direction are presented and discussed in [73]. Penalty factor approach may be also used to find out the violated results in optimization problem. Ensemble of constrained handling techniques has been considered in [74]. For further interest on this topic, the works presented in [73,74] may be referred. However, ensemble of constrainthandling technique [74] is adopted in the present work.

# Conclusion

To enhance the convergence behavior and to speed up the search process, OBL concept is applied on basic KHA algorithm and this new variant of KHA is termed as OKHA. OKHA is implemented, successfully, to solve TSCOPF problem of power systems. To check the superiority of the proposed method, it is implemented on two different standard test systems like 10 generator New England 39-bus system and 17 generator 162-bus systems. In addition to the basic KHA and the proposed OKHA, two recently developed algorithms like GSA and BBO are also adopted for the sake of comparison. The simulation test results, supported by statistical analysis, show the efficiency, the robustness, the stability and the enhanced convergence rate of the proposed OKHA technique over the other compared algorithms. This comparison results confirm that the proposed OKHA may be very much promising and encouraging tool for future engineering optimization task.

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